

Goods

Rules of Origin and Other Aspects of Implementation of Tariff Commitments

- Developing a Regional Approach to Monitoring Utilisation of AANZFTA Tariff Preferences
- Development of Training Modules and Training for Trainers on AANZFTA Rules of Origin
- Transposition of AANZFTA Tariff Reduction Schedules and Product Specific Rules from Harmonized Commodity Description and Coding System (HS) 2012 into HS 2017 Nomenclature
- Capacity Building to Support the Negotiation of Pilot Programs on Full Cumulation and Declaration of Origin for Rules of Origin under AANZFTA
- Workshop on Chemicals and Plastics Production Process Rules for the AANZFTA Rules of Origin
- Capacity building on Monitoring the Utilization of AANZFTA Tariff Preferences
- Workshop on Streamlining of Certificate of Origin Application and Issuance Procedures
- Workshop on AANZFTA Self-Certification of Origin
- Rapid Response: Training on Transposition of AANZFTA Tariff Reduction Schedules from Harmonised System 2007 to 2012 for Cambodia, Lao PDR, Myanmar, and Viet Nam
- Rapid Response: Training on Trade and Tariff Analysis for Cambodia and Indonesia
- Rapid Response: Training on AANZFTA Rules of Origin for Cambodia, Lao PDR, Myanmar, and Philippines
- Policy Dialogue on Future Transposition of the HS of the AANZFTA Tariff Reduction Schedules and Rules of Origin Product Specific Rules
- Joint Session to Discuss Implementation of the First Protocol to Amend the AANZFTA Agreement
- Enhancing SMEs' Awareness and Participation in AANZFTA — Capacity Building for Cambodia, Lao PDR and Myanmar
- Training on Tariff and Trade Data Analysis for Government Officials

Sanitary & Phytosanitary Measures

- ASEAN Regional Diagnostic Network (Phase II)
- ASEAN Regional Guideline for the Implementation of International Standards related to Sanitary and Phytosanitary Measures (Phase I)
- ASEAN Regional Diagnostic Network (Phase I)
- Sanitary and Phytosanitary Regulatory Cooperation in Dairy
- Capacity Building Program for the Development of Skills in Import Risk Analysis for Importation of Animal and Animal Products
- ASEAN Import Health Standard: Priority Line and Capacity Development

Standards, Technical Regulation and Conformity Assessment Procedures

- STRACAP Work Plan Implementation Program – Work Stream 1: Good Regulatory Practice
- Rapid Response: Cambodia, Lao PDR, and Myanmar participation at APEC Sub-Committee on Standards and Conformance
- AANZFTA Parties' Participation in the APEC Workshop on Harmonised Electrical Equipment Regulatory Risk Assessment Tools
- Workshop on International Standards Development Best Practices
- Workshop on Priority Setting for AANZFTA Standards, Technical Regulations and Conformity Assessment Procedures

Customs

- Review of Non-Tariff Measures
- Capacity Building on Risk Management
- Capacity Building and Technical Assistance in Advance Rulings on Rules of Origin, Tariff Nomenclature and Customs Valuation

Services

- ASEAN Qualifications Reference Framework: Capacity Building for National Qualifications Frameworks (Phase IV)
- Enhancing ASEAN Logistics and Transport Services: Policy, Institutional and Regulatory Review
- Education and Health Services Policy Review
- ASEAN Qualifications Reference Framework: Capacity Building for National Qualifications Frameworks (Phases I, II, and III)
- Capacity Building on Collection and Management of Statistics of International Trade in Services (Phases I, II and III)
- Technical Assistance: ASEAN Logistics in Services Sector
- Workshop on Enhancing Domestic Regulations in Services
- Case Study on the Benefits of Services Liberalisation

Investment

- Investment Policy Review of Cambodia
- Investment Policy Review of Viet Nam
- Investment Policy Workshop for ASEAN Policy Makers
- Regional Forum on Investment Disputes, Resolution and Prevention
- Seminar on Two-Annex Approach in the Scheduling of Reservation Lists under the Investment Chapter
- Workshop on Investment Commitments in International Agreements
- Workshop on Investment Disputes, Resolution, and Prevention
- Workshop on Non-Mode 3 Investment in Services
- Study on Investment Trends, Issues, and Prospects in AANZFTA
- Capacity Building for CLMV to Facilitate the Implementation and Business Utilization of AANZFTA
- Investment Facilitation and Technical Assistance for Newer ASEAN Member States
- Rapid Response: Knowledge exchange on Investment Treaty Handbooks as a tool for Investor-State Dispute Prevention
- Investment Policy Review of Malaysia
- Investment Policy Review of Myanmar
- Investment Policy Review of the Philippines
- Investment Policy Review of Lao PDR

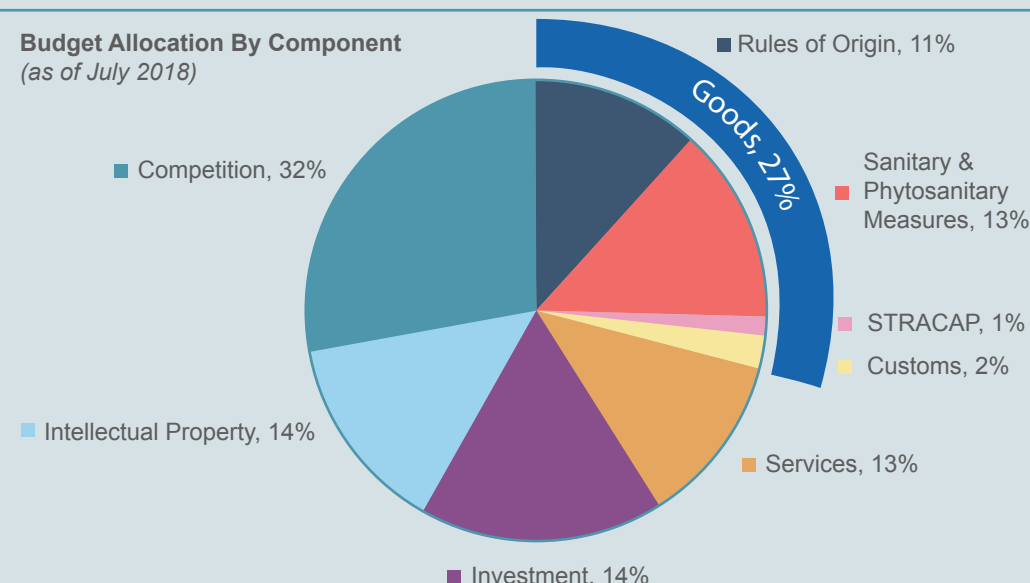
Intellectual Property

- Regional Patent Examination Training Mentoring Program
- Ideal Patent Examination Training
- Intellectual Property Public Education and Awareness (Phase II)
- Regional Patent Examination Training
- AANZFTA Intellectual Property Crime Conference
- Workshop on Accession to the Madrid Protocol
- Intellectual Property Training for Small to Medium-Sized Enterprises
- Intellectual Property Experts supporting the implementation of the AANZFTA IP Committee work program
- Accession to the Madrid Protocol (Phases I, II and III)
- IP Public Education and Awareness - Community of Practice and Strategy
- Policy workshops on IP and Genetic Resources, Traditional Knowledge, and Traditional Cultural Expressions

Competition

- Competition Law Implementation Program (Phase III)
- Competition Law Implementation Program (Phases I and II)
- Workshops I and II on Competition Policy and Law
- Building Competition Law Enforcement Capability through Investigation Skills Training
- Competition Regulatory Experts Secondment to Malaysia and Viet Nam
- 1st to 7th ASEAN Competition Conferences

Budget Allocation By Component
(as of July 2018)



AECSP Projects by Initiating Party as of July 2018

58 ASEAN, of which:		44 Australia and New Zealand	
14	Cambodia, Lao PDR and Myanmar		
30	Other ASEAN Member States		
14 ASEAN Secretariat		25 AANZFTA Sectoral Committee or Sub-Committee	

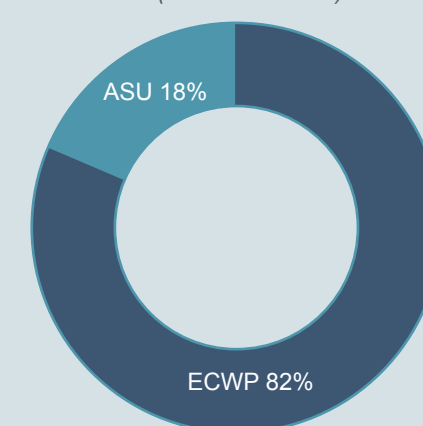
Note: Most AECSP projects have been initiated by more than one Party.

Legend: ongoing completed

Assisting Countries to Maximise the Benefits of the ASEAN-Australia-New Zealand FTA



AECSP Disbursement
(as of June 2018)



Goods

Rules of Origin, Other Aspects of Implementation of Tariff Commitments, and Customs

- More than 1,700 government officials and business persons from ASEAN Member States (AMS), including personnel responsible for the certification of origin of goods, participated in AECSP specialised training in the following areas: rules of origin; application of chemical and plastic production rules; monitoring the utilisation of tariff preferences; certification of origin; tariff and trade data analysis; and transposition of tariff reduction schedules and product specific rules.
- Following numerous training-of-trainer (ToT) activities on goods matters conducted at both regional and country level, participating AMS delivered in-country training, demonstrating the success and sustainability of the AECSP regional ToT approach. The most notable of these was the Regional ToT on AANZFTA Rules of Origin in (2013) where five graduates from each AMS subsequently served as Lead Trainers for workshops for larger groups in their respective countries.
- Two AECSP-funded publications, “Primer on AANZFTA Rules of Origin” (2009) and “AANZFTA Rules of Origin Training Modules” (2013) available in English, Khmer, Indonesian, Laotian, Burmese, Thai and Vietnamese, serve as essential training materials, enhancing AMS’ knowledge about AANZFTA rules of origin, originating criteria and the operational certification procedures. Relevant AMS received a total of around 2,500 copies of these two publications to assist with in-country training.
- AECSP supported the revision of the Certificate of Origin Form and development of transitional guidelines for traders and government officials, endorsed by Trade Ministers in 2015 to support the First Protocol’s implementation.
- AECSP-funded research on Non-Tariff Measures (NTMs) included a business survey involving consultations with 110 companies, mostly small-to-medium enterprises. A majority of the companies were in the wood and timber furniture sector or seafood sector. The findings informed officials’ recommendations to Ministers in 2017, including on making NTMs an ongoing part of AANZFTA’s work program, strengthening business engagement and enhancing good regulatory practice.
- As part of the AECSP-funded project on developing a regional approach to monitoring utilisation of AANZFTA tariff preferences, the Philippines successfully carried out pilot testing of AANZFTA utilisation data in June 2017. The project demonstrates evidence of AANZFTA’s value and relevance to business and seeks to identify specific sectors where action is needed to improve utilisation of the Agreement.
- Parties agreed to the Guidelines for Transposing Product Specific Rules (PSR) and Tariff Reduction Schedules (TRS) under AANZFTA in June 2017, following an AECSP-funded project to support the transposition process. The project assisted nine Parties to complete the transposition process of their TRS into HS 2017 nomenclature, and Parties adopted the PSR Schedule in HS 2017 nomenclature in January 2018. The project assisted timely implementation of the 2017 HS nomenclature, supporting businesses and preventing disruption to trade.

Sanitary & Phytosanitary Measures

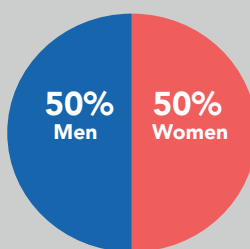
- More than 500 ASEAN officials benefited from various capacity building initiatives on SPS, mostly under the multi-phase ASEAN Regional Diagnostic Network (ARDN) project. The project has involved training activities on the identification of plant pests and diseases from 21 of the 30 highest priority groups of pests in the region. As an example of the multiplier benefits of the AECSP ToT capacity building approach, since 2013 Thai officials have used knowledge gained under the ARDN to conduct in-country training on biological control of nematode pests, benefiting more than 1,500 Thai farmers.
- Results for the ARDN project (Phases I and II) have included:
 - development of regional lists of major plant pests that are constraints to trade;
 - all ASEAN national plant protection organisations receiving diagnostic manuals on important pests;
 - introduction of new digital diagnostic technologies to specialists from five AMS to bolster capacity to diagnose plant pests and diseases affecting trade;
 - initiation of a national diagnostic network in Thailand;
 - a diagnostic protocol for a major corn disease paving the way for export of high-value seed corn from disease-free AMS;
 - Lao PDR able to define pest status for its corn and cassava, thereby facilitating market access to Cambodia, Thailand and Vietnam;
 - Cambodia and Lao PDR gaining market access to China for rice and corn respectively; and
 - Vietnam being able to use remote microscopy to assess detections by quarantine officers of insects in imported goods and clear consignments more quickly when there is no quarantine threat.
- The SPS Regulatory Cooperation in Dairy Project (Phases I and II) assisted AMS in developing plans to implement international standards to ensure the supply of safe and good quality milk for processing. The project contributed to:
 - the setting up of dairy cow associations in Cambodia;
 - revision of Myanmar’s existing Animal Health and Development Law to ensure that it aligns to the National Dairy Development Plan;
 - delivery of orientation training on proposed new dairy regulations and controls to key stakeholders and farmers in the Philippines;
 - Thailand completed revision of Good Agricultural Practice (GAP) checklists for dairy cattle farms and has delivered training on dairy processing for dairy processing farmers, GAP for farmers and Good Manufacturing Practice for milk collection centres.
- The Capacity Building Program for the Development of Skills in Import Risk Analysis (IRA) for Importation of Animal and Animal Products provided training to 25 representatives from nine AMS. Australian and New Zealand technical experts led a workshop on IRA approaches for animal and animal products based on the World Organisation for Animal Health (OIE) risk analysis framework. Each AMS developed a six-month work plan to disseminate and implement the knowledge gained within their respective agencies. The work plans included developing training materials and holding in-country workshops and seminars, forming risk analysis teams and reviewing completed risk analyses using skills and knowledge from the training.
- The ASEAN Regional Guideline for the Implementation of International Standards related to SPS Measures project (Phase I) identified the key challenges faced by AMS in implementing the WTO SPS Agreement and international SPS standards. The project provided recommendations to guide the development of ASEAN regional guidelines to support AMS implementation of international SPS standards, which will be developed in Phase II of the project. The development of ASEAN regional guidelines will deliver a key performance indicator under the ASEAN Strategic Plan of Food, Agriculture and Forestry 2016-2025.

Standards, Technical Regulation and Conformity Assessment Procedures

- In late 2017, AECSP supported officials from Cambodia, Lao PDR and Myanmar (CLM) to engage in the APEC Sub-Committee Meeting on Standards and Conformance (SCSC) and two technical workshops and conferences on Good Regulatory Practices and enhancing regulators’ understanding of the WTO Agreement on Technical Barriers to Trade. The attending CLM officials gained a better understanding of important and relevant initiatives in standards and conformance being conducted by other AANZFTA Parties and the broader APEC region.
- AECSP funds the multi-year implementation program to support the AANZFTA work plan on standards, technical regulations and conformity assessment procedures. Under Stream 1, a workshop in 2017 on Good Regulatory Practice, Parties discussed a consultant’s report on challenges associated with technical barriers to trade in the food and beverage sector and key policy recommendations to improve application of Good Regulatory Practice to facilitate trade in the region.



8,920
People reached in capacity development activities since 2010



Providing equal access and opportunities for men and women

Investment

- About 800 ASEAN officials benefited from AECSP workshops on investment, focusing on: reservation list scheduling; market access; streamlining business licensing procedures; and investment disputes resolution and prevention.
- The AECSP supported comprehensive Investment Policy Reviews (IPRs) conducted by the OECD for Cambodia, Lao PDR, Malaysia, Myanmar, the Philippines and Viet Nam since 2011. These reviews assist the development of policy on investment issues and promote inter-governmental and public-private dialogue on removing barriers to foreign investment. Significant outcomes from the IPRs supported by AECSP include:
 - Lao PDR’s implementation of the IPR’s recommendations to improve the country’s investment environment, particularly reducing domestic restrictions on investment such as the elimination of minimum capital requirements for investors in some sectors.
 - Myanmar addressed key recommendations from its IPR to improve the country’s investment climate. This included enacting the Myanmar Investment Law in 2016, promulgating the Myanmar Investment Rules in 2017 and issuing notifications to facilitate the implementation of the law.

Services

- Through a multi-phase program, AECSP has been supporting ASEAN in its development and implementation of the ASEAN Qualifications Reference Framework (AQRF). The AQRF will be the first regional qualifications reference framework in Asia and one of the most significant education policy innovations in our region in recent times. The AQRF is designed to help enable comparisons of qualifications across AMS. This multi-year program is supporting the technical process of referencing national qualifications frameworks (or systems) to the AQRF. The AQRF ultimately aims to support student and worker mobility within ASEAN. The AQRF program has triggered significant national reforms in education and training across ASEAN. Results include:
 - Phases I, II and III of the AQRF program built the capacity of AMS to develop the AQRF, and to develop their national qualifications frameworks and underpinning quality assurance systems.
 - Under Phase II, the AQRF was successfully developed and endorsed by the ASEAN Economic and Education Ministers in 2014 and the ASEAN Labour Ministers in 2015.
 - Aiming to transform the AQRF from a theoretical framework into a functioning regional framework, Phase IV facilitated the establishment of the AQRF Committee in 2017 and has supported the implementation of the Committee’s work program of providing intensive technical assistance to AMS to reference their national qualifications frameworks to the AQRF.
 - Phase IV will run until June 2019 and aims to support at least two AMS to successfully reference to the AQRF.
- Through a three-phase program implemented during 2011-2017, AECSP supported technical assistance and building surveying and compilation capacity in statistics in trade in services (SITS), strengthening inter-agency coordination between AMS’ statistical agencies. Pilot sectors have included transportation, manufacturing, travel and tourism. Around 300 ASEAN officials and enumerators were trained on designing and conducting pilot surveys towards SITS compilation, thereby supporting the development of statistical infrastructure on international trade in services for the AMS.
- The 2017 AECSP report “AANZFTA Health and Education Policy Review” highlighted the barriers to trading health and education services and the benefits of international cooperation in these services.
- AECSP improved awareness of the benefits of services liberalisation through a case study report in 2017 which highlighted the successes of Australia, Indonesia, the Philippines, Thailand and Viet Nam in undertaking liberalisation measures in the sectors of business services, financial services, logistics, telecommunications and tourism.

Intellectual Property

- From 2011-17, a multi-phase AECSP capacity building program supported several AMS in their accession to and implementation of The Madrid Protocol on international registration of trademarks, facilitating trademark protection for businesses in the region. Results from the program include:
 - Cambodia, Lao PDR, Brunei, Thailand and Indonesia successfully acceded to the Madrid Protocol in 2015-2018.
 - Technical assistance to Myanmar towards future accession by facilitating the development of national legislation and building institutional capability in that country.
 - Post-accession support provided to Viet Nam and the Philippines for their implementation of the Protocol.
- 45 intellectual property officers from Indonesia, Malaysia, the Philippines, Thailand and Viet Nam participated in the two-year competency-based Regional Patent Examination Training (RPET) program from 2013-2018, funded by AECSP. RPET, the winner of three prestigious international and national awards for its blended learning model, aimed to enable these AMS to consistently apply international best practice in conducting patent examinations to the Patent Cooperation Treaty standards. Results include:
 - Trainees using concepts and knowledge in implementing practical changes in-house, transferring knowledge obtained into their workplace.
 - Participation of trainees in an online Community of Practice to share experiences and progress among the AMS.
 - The Philippines’ adoption of RPET models and approaches in their national patent examination process and improved processes in the quality review system and patent product quality standards.
- The ongoing RPET Mentoring program, funded by AECSP, continues to assist AMS in adapting RPET’s competency-based training model to national practice and legislation. RPET Mentoring contextualises the materials and tools developed under another AECSP project, Capacity Building for Patent Examiners (IPET), to the domestic laws and practice of the AMS. Under RPET Mentoring, experienced Australian mentors have conducted in-country consultations in Indonesia, Malaysia, the Philippines, Thailand, and Viet Nam and subsequently developed a work plan for capacity development for each participating IP Office. Participating AMS have established a competency based training framework, or elements thereof, developed to meet the specific needs of their offices.
- IPET supported the development of an online learning management system, completed in 2017, a sustainable approach to patent examination training in the region. The system serves as an interactive learning tool and an open source depository for training materials covering key areas identified in the curricula developed under the IPET and RPET programs.

Competition

- The Competition Law Implementation Program (CLIP), now in its third phase, has expanded regional integration and cross-border cooperation on effective implementation of competition law through skills development, institution building, law reform and advocacy. Significant results have been achieved as follows:
 - Over 1,500 participants, mainly from national competition agencies, have benefited so far from CLIP training.
 - CLIP supported the enactment of national competition laws of Brunei Darussalam, Lao PDR, Myanmar and the Philippines in 2015.
 - Technical assistance to Cambodia in drafting its competition law.
 - Technical assistance and advocacy support to Thailand and Viet Nam as they enacted new and improved competition laws.
 - CLIP supported Brunei, Cambodia, Lao PDR, Myanmar and the Philippines to prepare to enforce national competition law, including to design and develop effective competition institutions. CLIP’s support included placing Australian Competition and Consumer Commission staff in offices in the region as well as remote mentoring.
 - Modules of CLIP investigations training strengthened the enforcement capacity of competition officials from Indonesia, Malaysia, the Philippines, Thailand and Viet Nam.
 - CLIP continues to support ASEAN competition officials to undertake secondments at the Australian Competition and Consumer Commission, New Zealand Ministry of Business, Innovation and Employment and New Zealand Commerce Commission, which help to strengthen their understanding of competition policy and law as well as enforcement.
 - CLIP recently launched an online Learning Management System to enable the provision of eLearning on competition law and economics for AMS. CLIP eLearning will complement and help ensure sustainability of outcomes of face-to-face CLIP capacity building. CLIP also recently spearheaded a project with the Federal Court of Australia and the OECD for the development of competition tools for the judiciary, designed to provide practical guidance for judges in making and reviewing decisions under competition laws in AMS.
 - CLIP developed the updated ASEAN Capacity Building Roadmap for Competition (2017-2020), which was endorsed by ASEAN Economic Ministers in September 2017. The Roadmap identifies five key areas of capacity building needs among AMS and provides ASEAN with options when considering the most appropriate vehicle and/or partner to support proposed capacity building activities.
 - CLIP developed the ASEAN Regional Cooperation Framework – a framework in support of competition law enforcement cooperation – which was adopted by the AANZFTA Competition Committee and ASEAN Experts Group on Competition in March 2018. The Framework includes five key areas for cooperation among AMS.